

Internal Audit Annual Report & Opinion 2014/15 – Appendix A

Internal Audit Programme 2014/15 – revised January 2015										
ref	Audit	IA Risk 2014/15	revised potential days	Actual days taken	Audit Opinion	No of Recs	4	3	2	1
Key Financial - Corporate										
KF01 C	Cash & Bank	3	3	3	substantial	0	0	0	0	0
KF02 C	Main Accounting System	3	4	5	substantial	1	0	0	1	0
KF03 C	Asset Management	2	0	0	No audit 2014/15, substantial 2013/14					
KF04 C	Budgets	2	0	0	No audit 2014/15, substantial 2013/14					
	Contracts & Procurement	1	0	0	No audit 2014/15, substantial 2013/14					
	Income - Fees & Charges	1	0	0	No audit 2014/15, substantial 2013/14					
Key Financial - Operational										
KF05 O	Council Tax	10	3	8	substantial	0	0	0	0	0
KF06 O	Creditors	20	4	24	adequate	6	0	2	3	1
KF07 O	Housing Benefits & LCTS	20	4	17	substantial	0	0	0	0	0
KF08 O	Housing Rents	1	3	1	substantial	0	0	0	0	0
KF09 O	NNDR	10	3	10	substantial	0	0	0	0	0
KF10 Oi	HR	10	2	13	adequate	6	0	0	5	1
KF10 Oii	Payroll	5	4	5	substantial	0	0	0	0	0
KF11 O	Recovery	15	3	20	substantial	0	0	0	0	0
KF12 O	Housing Repairs				Carried forward to 2015/16					
KF41 O	Taxation	3	3	6	substantial	1	0	0	1	0
KF42 O	Treasury Management	3	3	3	substantial	0	0	0	0	0
Other - Corporate										
COR13	Corporate Governance & AGS	15	1	15	substantial	1	0	0	1	0
COR14	Equality & Diversity	5	2	2	interim report issued					
COR15	Health & Safety	15	1	12	adequate	5	0	0	5	0
COR16	Information Management				Carried forward to 2015/16					
COR17	Training	10	2	13	adequate	5	0	0	5	0

Internal Audit Annual Report & Opinion 2014/15 – Appendix A

ref	Audit	IA Risk 2014/15	revised potential days	Actual days taken	Audit Opinion	No of Recs	4	3	2	1
Other - Operational										
OP18	Building Control Service & Fees	10	1	11	substantial	0	0	0	0	0
OP19	Car Parking Partnership (NEPP)	25	3/4	30	adequate	5	0	2	3	0
OP20	Leisure - PFI	10	4	6	substantial	0	0	0	0	0
OP21	Community Safety – including ASB	10	2	10	substantial	2	0	0	2	0
OP22	Disabled Facilities Grants	10	1	10	substantial	1	0	0	1	0
OP23	Economic Development Service	10	1	9	substantial	1	0	0	1	0
OP24	Elections					Carried forward to 2015/16				
OP25	House Sales	3	1	3	substantial	1	0	0	1	0
OP26	Housing Contract Systems	15	4	5	substantial	0	0	0	0	0
OP27	Housing Rent Deposit Scheme	3	1	4	substantial	0	0	0	0	0
OP28	Planning - Housing Strategy Local Plan					Carried forward potentially to 2016/17				
OP29	Members' Allowances & Expenses					Carried forward to 2015/16				
OP30	Planning - Development Management (control)	25	2	23	substantial	3	0	0	2	1
OP31	<i>Planning - Support & Advice (planning fees) - included in op30</i>									
OP32	Services for Older People	10	3	8	substantial	2	0	0	2	0
OP33	Street Services - Waste & Recycling	25	1	23	adequate	4	1	1	2	0
OP34	Street Services - Street Cleaning	5	3	7	adequate	3	0	0	3	0
OP35	Street Services - Highway Ranger Services	5	3	6	adequate	3	0	0	3	0
OP36	Street Services - Grounds Maintenance	5	3	7	adequate	4	0	0	4	0
OP37	Street Services - Trade Waste					Originally potential audit 2014/15				
OP38	Street Services - Transport & Plant Utilisation & Maintenance					Originally potential audit 2014/15				
OP39	Street Services - Income Generating Services					Originally potential audit 2014/15				
OP40	Community Health & Fitness					Originally potential audit 2014/15				
OP43	Street Services - Management & Admin					Originally potential audit 2014/15				
		TOTAL DAYS	325	319	TOTAL RECOMMENDATIONS	54	1	5	45	3